Ally Invest Advisors Inc.

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Charlotte, North Carolina 28202
(855) 880-2559
https://www.ally.com/invest/personal-advice/

Form ADV Part 2B
Brochure Supplement

February 2024

This brochure supplement provides information about Yutong Chen, Deryck I. Gryne, Ralph E. Hughes, Lansen Dimitri Pan, Jr., Paula Parrish, and Brandon Shephard that supplements the Ally Invest Advisors Firm Brochure. You should have received a copy of that brochure. Please contact Ally Invest Advisors at (855) 880-2559 or support@invest.ally.com if you did not receive the Ally Invest Advisors Firm Brochure of if you have any questions about the contents of this supplement.

Additional information about Ally Invest Advisors is available on the SEC website at www.adviserinfo.sec.gov.

Yutong “Anthony” Chen

Year of Birth
1989

Formal Education after High School
- Bachelor’s Degree, Binghamton University, 2013
- Associate degree, Defense Language Institute, 2010

Business Background for the Previous Five Years
- Wealth Management Client Relationship Consultant, TIAA, 2019 - 2021
- 529 Education Consultant, TIAA, 2016-2019

Disciplinary Information
There are no legal or disciplinary events related to Anthony Chen that are material to a client’s or prospective client’s evaluation of him/her as an investment adviser representative.

Other Business Activities
Anthony Chen is affiliated with Ally Invest Securities. Ally Invest Advisors is affiliated with Ally Invest Securities. Both Ally Invest Advisors and Ally Invest Securities are wholly owned subsidiaries of Ally Invest Group. Mr. Chen’s responsibilities do not conflict with his position at Ally Invest Advisors.

Additional Compensation
Anthony Chen’s compensation is comprised of base pay and incentive payments. The base pay is a majority of his total compensation. Incentive payments are tied to several factors, including success at attracting new assets, client satisfaction, and other key behaviors.

Supervision
Ally Invest Advisors has established and maintains policies and procedures reasonably designed to comply with the Investment Advisers Act of 1940, including supervision of its associates’ activities. Mr. Ray Lancaster, Manager of Ally Invest Advisors is responsible for supervising the activities of Anthony Chen and may be reached at 704-644-6216.

Deryck I. Gryne

Year of Birth
1969

Formal Education after High School
- Bachelor’s Degree, University of Windsor, 2000

Business Background for the Previous Five Years
- Financial Advisor, Regions Bank, 2019-2022
- Wealth Management Advisor, TIAA-CREF, 2016-2019

Professional Designations
Certified Retirement Counselor® (CRC®), see “Professional Designations” below for more information.

Disciplinary Information
There are no legal or disciplinary events related to Deryck I. Gryne that are material to a client’s or prospective client’s evaluation of him/her as an investment adviser representative.

Other Business Activities
Deryck I. Gryne is affiliated with Ally Invest Securities. Ally Invest Advisors is affiliated with Ally Invest Securities. Both Ally Invest Advisors and Ally Invest Securities are wholly owned subsidiaries of Ally Invest Group. Mr. Gryne’s responsibilities do not conflict with his position at Ally Invest Advisors.

Additional Compensation
Deryck I. Gryne’s compensation is comprised of base pay and incentive payments. The base pay is a majority of his total compensation. Incentive payments are tied to several factors, including success at attracting new assets, client satisfaction, and other key behaviors.

Supervision
Ally Invest Advisors has established and maintains policies and procedures reasonably designed to comply with the Investment Advisers Act of 1940, including supervision of its associates’ activities. Mr. Ray Lancaster, Manager of Ally Invest Advisors is responsible for supervising the activities of Deryck I. Gryne and may be reached at 704-644-6216.

Ralph Eugene "Gene" Hughes

Year of Birth
1961

Formal Education after High School
- Bachelor's Degree, Bowling Green State University, 1984

Business Background for the Previous Five Years
- Branch Manager and Senior Financial Advisor, TD Ameritrade, 2019 –2021
- CEO/Owner, Hughes Wealth Management, 2012 – 2019

Professional Designations
Chartered Financial Consultant ® (ChFC®), see "Professional Designations" below for more information.

Disciplinary Information
There are no legal or disciplinary events related to Gene Hughes that are material to a client's or prospective client's evaluation of him/her as an investment adviser representative.

Other Business Activities
Gene Hughes is affiliated with Ally Invest Securities. Ally Invest Advisors is affiliated with Ally Invest Securities. Both Ally Invest Advisors and Ally Invest Securities are wholly owned subsidiaries of Ally Invest Group. Mr. Hughes' responsibilities do not conflict with his position at Ally Invest Advisors.

Additional Compensation
Gene Hughes' compensation is comprised of base pay and incentive payments. The base pay is a majority of his total compensation. Incentive payments are tied to several factors, including success at attracting new assets, client satisfaction, and other key behaviors.

Supervision
Ally Invest Advisors has established and maintains policies and procedures reasonably designed to comply with the Investment Advisers Act of 1940, including supervision of its associates' activities. Mr. Ray Lancaster, Manager of Ally Invest Advisors is responsible for supervising the activities of Gene Hughes and may be reached at 704-644-6216.

Lansen “Dimitri” Pan, Jr.

Year of Birth
1989

Formal Education after High School
- Bachelor’s Degree, University of Connecticut, 2011

Business Background for the Previous Five Years
- Senior Financial Advisor, The Vanguard Group, Inc., 2014 – 2021

Professional Designations
Certified Financial Planner (CFP®), see “Professional Designations” below for more information.

Disciplinary Information
There are no legal or disciplinary events related to Dimitri Pan that are material to a client’s or prospective client’s evaluation of him/her as an investment adviser representative.

Other Business Activities
Dimitri Pan is affiliated with Ally Invest Securities. Ally Invest Advisors is affiliated with Ally Invest Securities. Both Ally Invest Advisors and Ally Invest Securities are wholly owned subsidiaries of Ally Invest Group. Mr. Pan’s responsibilities do not conflict with his position at Ally Invest Advisors.

Additional Compensation
Dimitri Pan’s compensation is comprised of base pay and incentive payments. The base pay is a majority of his total compensation. Incentive payments are tied to several factors, including success at attracting new assets, client satisfaction, and other key behaviors.

Supervision
Ally Invest Advisors has established and maintains policies and procedures reasonably designed to comply with the Investment Advisers Act of 1940, including supervision of its associates’ activities. Mr. Ray Lancaster, Manager of Ally Invest Advisors is responsible for supervising the activities of Dimitri Pan and may be reached at 704-644-6216.

Paula Parrish

Year of Birth
1960

Formal Education after High School
- Master of Finance degree from Suffolk University, 1996
- Bachelor of Business degree from Southern New Hampshire University, 1993

Business Background for the Previous Five Years
- Senior Vice President, Clarfeld Citizens Private Wealth, 2018-2021
- Axa Advisors, Regional Vice President, 2017 - 2018

Professional Designations
Certified Financial Planner (CFP®), see "Professional Designations" below for more information.

Disciplinary Information
There are no legal or disciplinary events related to Paula Parrish that are material to a client's or prospective client's evaluation of him/her as an investment adviser representative.

Other Business Activities
Paula Parrish is affiliated with Ally Invest Securities. Ally Invest Advisors is affiliated with Ally Invest Securities. Both Ally Invest Advisors and Ally Invest Securities are wholly owned subsidiaries of Ally Invest Group. Ms. Parrish's responsibilities do not conflict with her position at Ally Invest Advisors.

Additional Compensation
Paula Parrish's compensation is comprised of base pay and incentive payments. The base pay is a majority of her total compensation. Incentive payments are tied to several factors, including success at attracting new assets, client satisfaction, and other key behaviors.

Supervision
Ally Invest Advisors has established and maintains policies and procedures reasonably designed to comply with the Investment Advisers Act of 1940, including supervision of its associates' activities. Mr. Ray Lancaster, Manager of Ally Invest Advisors is responsible for supervising the activities of Paula Parrish and may be reached at 704-644-6216.

Brandon Shephard

Year of Birth
1987

Formal Education after High School
- Bachelor's Degree, University of South Carolina Aiken, 2011

Business Background for the Previous Five Years
- Investment Advisory Consultant, TIAA, 2020 - 2021
- Senior Financial Consultant, TIAA, 2018 - 2020

Disciplinary Information
There are no legal or disciplinary events related to Brandon Shephard that are material to a client's or prospective client's evaluation of him/her as an investment adviser representative.

Other Business Activities
Brandon Shephard is affiliated with Ally Invest Securities. Ally Invest Advisors is affiliated with Ally Invest Securities. Both Ally Invest Advisors and Ally Invest Securities are wholly owned subsidiaries of Ally Invest Group. Mr. Shephard's responsibilities do not conflict with his position at Ally Invest Advisors.

Additional Compensation
Brandon Shephard's compensation is comprised of base pay and incentive payments. The base pay is a majority of his total compensation. Incentive payments are tied to several factors, including success at attracting new assets, client satisfaction, and other key behaviors.

Supervision
Ally Invest Advisors has established and maintains policies and procedures reasonably designed to comply with the Investment Advisers Act of 1940, including supervision of its associates' activities. Mr. Ray Lancaster, Manager of Ally Invest Advisors is responsible for supervising the activities of Brandon Shephard and may be reached at 704-644-6216.

Professional Designations

Certified Financial Planner (CFP®)
The Certified Financial Planner™ certification, which is also referred to as a CFP® certification, is offered by the Certified Financial Planner Board of Standards Inc. ("CFP Board"). To obtain the CFP® certification, candidates must pass the comprehensive CFP® Certification Examination, pass the CFP® Board's fitness standards for candidates and registrants, agree to abide by the CFP Board's Code of Ethics and Professional Responsibility, and have at least three (3) years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year qualifying work experience, among other requirements). The CFP® Board owns the certification marks CFP® and Certified Financial Planner™ in the United States.

Certified Retirement Counselor® (CRC®)
The CRC® program is administered by the International Foundation for Retirement Education (InFRE). To qualify for this designation, candidate must have completed a bachelor's degree with two years relevant professional experience within the past five years or a high school diploma or equivalent with five years relevant professional experience within the past seven years. Candidate must pass the certification exam. In addition, candidate must complete 15 hours of continuing education per year.

Chartered Financial Consultant™ ("ChFC®")
The Chartered Financial Consultant™ designation is issued by The American College of Financial Services and is granted to individuals who have at least three years of full-time relevant business experience within the five years preceding the awarding of the designation. The candidate is required to take eight mandatory courses which include the following disciplines: financial, insurance, retirement and estate planning; income taxation, investments and applications of financial planning; as well as two elective courses involving the application of the aforementioned disciplines. Each course has a final proctored exam and once issued, the individual is required to submit 30 hours of continuing education every two years.