


Return this form using one of these methods:

 **Online**

Log in at ally.com and select Email, or log in on the Ally Mobile app and select . Attach the form to your message.

**Mail**

Ally Bank  
PO Box 951  
Horsham, PA 19044

 **Fax**

Subject Line: Operations  
Fax Number: 866-699-2969

Provide these documents:

- A copy of Death Certificate and
- A copy of Letters of Testamentary, probate or court document naming the executor/executrix of the estate

Estate

NAME OF DECEASED

TAX IDENTIFICATION NUMBER FOR ESTATE

Account Product and Selection

Product Type	Quantity	Amount
SPENDING ACCOUNT		\$
SAVINGS ACCOUNT		\$
MONEY MARKET ACCOUNT		\$
HIGH YIELD 3-MONTH CD		\$

Product Type	Quantity	Amount
HIGH YIELD 6-MONTH CD		\$
HIGH YIELD 9-MONTH CD		\$
NO PENALTY 11-MONTH CD		\$
<b>TOTAL</b>		\$

Note: When a CD is opened for deposit of Estate funds, an early withdrawal penalty applies if the CD is closed prior to maturity.

**Special Instructions:**

Important Notifications

To help the United States government fight terrorism and money laundering, federal law requires us to obtain, verify, and record information that identifies each person who opens an account. What this means for you: We'll ask for your name, a street address, date of birth, and an identification number, such as a Social Security number or Individual Taxpayer Identification number (ITIN). We may also ask to see your driver's license or other identifying documents that will allow us to identify you. We only open accounts for U.S. citizens and current U.S. residents. By signing and submitting this application, you're acknowledging that you're a U.S. citizen or current resident of the U.S.

If you've a freeze on your credit as a feature of credit security monitoring, we may contact you to lift the freeze temporarily to verify your identity.

You authorize us to contact you by using any telephone number you provide to us, including a mobile or cell phone number that you're authorized to use. In addition to manual calling, we may use text messages, prerecorded or artificial voice messages, or automatic dialing systems. We'll not charge you for any contact, but your mobile phone service provider may.

Executor/Executrix

I'm an existing Ally Bank customer. Complete all fields.

FIRST NAME	M.I.	LAST NAME / SUFFIX	SOCIAL SECURITY OR ITIN	DATE OF BIRTH
OCCUPATION (IF RETIRED, HOMEMAKER, UNEMPLOYED, OR STUDENT, STATE SO HERE)			EMPLOYER (IF SELF-EMPLOYED, STATE BUSINESS NAME HERE)	
EMAIL ADDRESS		PERSONAL PHONE	WORK PHONE	
COUNTRY OF CITIZENSHIP		RESIDENCY ELECTION FOR TAX PURPOSES ONLY (see the Certification of Taxpayer Identification Number section for definition of Resident for tax purposes)		
		Resident (W-9)	Non-Resident (W-8BEN)	
RESIDENTIAL STREET ADDRESS (NO PO BOX, BUS., OR MAIL DROP)			MAILING STREET ADDRESS (IF DIFFERENT THAN RESIDENTIAL)	
RESIDENTIAL ADDRESS LINE 2			MAILING ADDRESS LINE 2	
RESIDENTIAL CITY	STATE	ZIP	MAILING CITY	STATE ZIP

If you're not an Ally Bank customer, provide both a security question with answer (different than mother's maiden name) and mother's maiden name that may be used to identify you when contacting us.

SECURITY QUESTION	SECURITY ANSWER	MOTHER'S MAIDEN NAME
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Executor/Executrix

I'm an existing Ally Bank customer. Complete all fields.

FIRST NAME	M.I.	LAST NAME / SUFFIX	SOCIAL SECURITY OR ITIN	DATE OF BIRTH
OCCUPATION (IF RETIRED, HOMEMAKER, UNEMPLOYED, OR STUDENT, STATE SO HERE)			EMPLOYER (IF SELF-EMPLOYED, STATE BUSINESS NAME HERE)	
EMAIL ADDRESS		PERSONAL PHONE	WORK PHONE	
COUNTRY OF CITIZENSHIP		RESIDENCY ELECTION FOR TAX PURPOSES ONLY (see the Certification of Taxpayer Identification Number section for definition of Resident for tax purposes)		
		Resident (W-9)	Non-Resident (W-8BEN)	
RESIDENTIAL STREET ADDRESS (NO PO BOX, BUS., OR MAIL DROP)			MAILING STREET ADDRESS (IF DIFFERENT THAN RESIDENTIAL)	
RESIDENTIAL ADDRESS LINE 2			MAILING ADDRESS LINE 2	
RESIDENTIAL CITY	STATE	ZIP	MAILING CITY	STATE ZIP

Executor/Executrix (continued)

If you're not an Ally Bank customer, provide both a security question with answer (different than mother's maiden name) and mother's maiden name that may be used to identify you when contacting us.

SECURITY QUESTION	SECURITY ANSWER	MOTHER'S MAIDEN NAME
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Account Options

**Spending Account:**

Order Debit Card	Yes	No
Order Checks	Yes	No
Add Overdraft Service	Yes	No

**Money Market Account:**

Order Debit Card	Yes	No
Order Checks	Yes	No

Overdraft Transfer Service links an Ally Money Market or Savings Account with the same Estate account title to your Ally Spending Account for overdraft service. Refer to the Ally Bank Deposit Agreement for a full explanation of this service and applicable fees that may be incurred.

Debit cards and checks that you order won't be issued until we receive your first deposit. Your debit card and initial check order should arrive in the mail within 10 business days after we receive your first deposit.

**Online Access and Statements**

The statements for these accounts will be mailed to the address on file for the Estate. If you need to set-up online banking credentials or want to view your statement online, contact us once the account is opened or visit us at [ally.com](http://ally.com).

Fund Account(s)

Use the check I've enclosed with my application

- Ally Bank doesn't accept cash deposits, foreign checks/currency, or savings bonds.

As the executor/executrix of the estate, I authorize full liquidation of all deposit assets in the Ally Bank deceased account holder's name to fund the new estate account(s), unless there's a beneficiary named on the account.

ACCOUNT NUMBER(S)

Account Agreement

**Acceptance of Terms and Conditions**

By signing below, I agree that if I use and don't close my account within 30 days of opening, it will constitute my agreement to the terms of the Ally Bank Deposit Agreement that will be sent to me after my account is opened. I authorize Ally Bank to obtain a consumer report from a consumer reporting agency to verify information provided in this application or for any legitimate business purpose in connection with the Ally Bank account.

PRIMARY APPLICANT'S SIGNATURE	DATE	JOINT APPLICANT'S SIGNATURE	DATE
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We accept 2 ways to sign: 1) wet ink using a pen or 2) placement of a digital signature. We don't accept typed signatures.

Certification of Taxpayer Identification Number (Form W-9 or W-8BEN)

**A Certification of Taxpayer Identification Number Form W-9 or W-8BEN is required for each applicant** who doesn't currently have an existing account at Ally Bank. Depending on your taxpayer status, each applicant must complete the appropriate Form W-9 or W-8BEN.

- Resident – You're a resident of the United States for tax purposes if you a) are a U.S. citizen, b) meet the green card test, or c) meet the substantial presence test. If you're a resident, you must complete, sign, and return the attached form labeled W-9. Criteria for the green card and substantial presence test can be found at [IRS.gov](https://www.irs.gov).
- Non-Resident – If you don't meet any of the Resident criteria above, then you're not considered a U.S. non-resident for tax purposes. If you're a non-resident for tax purposes, you must visit [IRS.gov](https://www.irs.gov) and download Form W-8BEN. Complete, sign, and attach the Form W-8BEN with your application.



ESTATE TIN CERTIFICATION

FORM W-9 TAXPAYER IDENTIFICATION NUMBER (TIN) CERTIFICATION (Not applicable for Non-Resident Aliens):

For individuals the TIN is your Social Security Number or Individual Tax Identification Number (ITIN) which should match the first name listed on the account and will be used for tax reporting purposes. For other entities, it's your Employer Identification Number.

A. Social Security Number or Employer Identification Number:

B. Certification - Under penalties of perjury, I certify that:

- 1. The number shown on this form is my correct taxpayer identification number (or I'm waiting for a number to be issued to me); and
2. I'm not subject to backup withholding because: (a) I'm exempt from backup withholding, or (b) I haven't been notified by the Internal Revenue Service (IRS) that I'm subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I'm no longer subject to backup withholding; and
3. I'm a U.S. citizen or other U.S. person (including a U.S. resident alien); and
4. The FATCA code(s) entered on this form (if any) indicating that I'm exempt from FATCA reporting is correct.

Ally Bank doesn't collect Foreign Account Tax Compliance Act (FATCA) exemption codes. We're required by law to include the aforementioned certifications, but note that number 4 doesn't apply.

Backup Withholding Instructions

You must check off the box to the right if you've been notified by the IRS that you're currently subject to backup withholding because you've failed to report all interest and dividends on your tax return.

The Internal Revenue Service doesn't require your consent to any provision of this document other than the certifications required to avoid backup withholding.

Customer Signature

Date



Print Name

Customer Number (Internal Use Only)

EXECUTOR/TRIX TIN CERTIFICATION

FORM W-9 TAXPAYER IDENTIFICATION NUMBER (TIN) CERTIFICATION (Not applicable for Non-Resident Aliens):

For individuals the TIN is your Social Security Number or Individual Tax Identification Number (ITIN) which should match the first name listed on the account and will be used for tax reporting purposes. For other entities, it's your Employer Identification Number.

A. Social Security Number or Employer Identification Number:

B. Certification - Under penalties of perjury, I certify that:

- 1. The number shown on this form is my correct taxpayer identification number (or I'm waiting for a number to be issued to me); and
2. I'm not subject to backup withholding because: (a) I'm exempt from backup withholding, or (b) I haven't been notified by the Internal Revenue Service (IRS) that I'm subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I'm no longer subject to backup withholding; and
3. I'm a U.S. citizen or other U.S. person (including a U.S. resident alien); and
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Customer Signature

Date



Print Name

Customer Number (Internal Use Only)